

FERC Order No. 717 Rules Matrix: Requirements

FERC Order No. 717	Description	How ZL Unified Archive Ensures Compliance
§ 358.5 Independent Functioning Rule	Transmission function employees must operate independently from marketing function employees.	ZL allows applicable firms to manage and restrict the electronic interaction between transmission and marketing functions to maintain compliance with FERC regulations.
§ 358.6 No Conduit Rule	Non-public transmission function information cannot be shared between marketing and transmission function employees, whether directly or through an intermediary.	ZL ensures compliance by recording all electronic communication for the application of customized, policy-based email rules to prevent, monitor, and respond to prohibited exchanges of non-public information, all in real-time.
§ 358.7 Transparency Rule		
<i>Contemporaneous disclosure</i>	Disclosure of privileged transmission or customer information must immediately be made public.	Using customized policies and targeted search, immediately identify all privileged exchanges of transmission or customer information for public disclosure.
<i>Exclusion for and recordation of certain information exchanges</i>	All exchanges between marketing and transmission functioning employees must immediately be recorded and retained for a period of five years. This record may include electronic records such as e-mails, files, text messages, and voicemail.	Through 100% capture and granular retention policies, manage the creation, maintenance, and disposition of all electronic records relating to FERC compliance.
§ 358.8 Implementation Requirements	A transmission provider must implement measures to ensure that FERC requirements are adhered to by all relevant parties.	Ensure and verify FERC compliance by: <ul style="list-style-type: none"> • Creating customized roles and privileges for system governance • Maintaining comprehensive audit trails to guarantee accountability and data integrity