

# ZL Unified Archive Compliance

## Key Benefits:

Lower storage, hardware, and administrative costs with a single solution to meet U.S., E.U., and sector regulations for e-mail and file archival, retention, and supervision

Reduce false positives for supervision to cut review workload by up to 90%

Simplify governance with records compliance reports and audit trails from a single trusted source

Automate policy management to reduce workload and ensure compliance

Eliminate mistakes with real-time policy enforcement

## Concerns about Compliance

Worldwide, governments, regulatory agencies, and courts of law now recognize electronic records such as e-mail and files as critical business records. Accordingly, supervision, management, and retention of electronic records are increasingly subject to regulations, particularly for the financial and health care industries and publicly traded corporations. Non-compliance has become a risky proposition as fines and prison terms have escalated in recent years.

## Real-Time Compliance

E-mail usage and volume have exploded in recent years, with the number of e-mails per user increasing by an order of magnitude or more. With this increase in volume and the addition of electronic files and documents that also require supervision, review, and retention, mid-sized enterprises easily average records in the hundreds of millions, even billions across their retention periods. A uniquely scalable and centralized solution is required to consistently apply compliance and life cycle management across so many records.

## The Need for a Unified Solution

Beyond the stresses generated from scalability issues, archive compliance must also support a wide range of functions. To date, most solutions have addressed this challenge by using 3rd party components. Unfortunately, creating a solution from a mixed bag of ingredients has proven unsuccessful time and time again. Only a unified, completely integrated platform has been shown to consistently combine scalability and flexibility with success.

## What is ZL Unified Archive?

To solve these challenges, ZL Technologies offers enterprises complete, centralized control of their company's records supervision, life-cycle, and governance.

## ZL Unified Archive (ZL UA) for E-mail enables:

- Compliance with nearly two dozen domestic and global regulations, including SEC 17a-4, NASD 3010, Sarbanes-Oxley, FRCP, PIPPIDA, HIPAA, and GLBA;
- Complete records life cycle management; and
- Automated records retention, governance, and supervision.

## E-mail Compliance

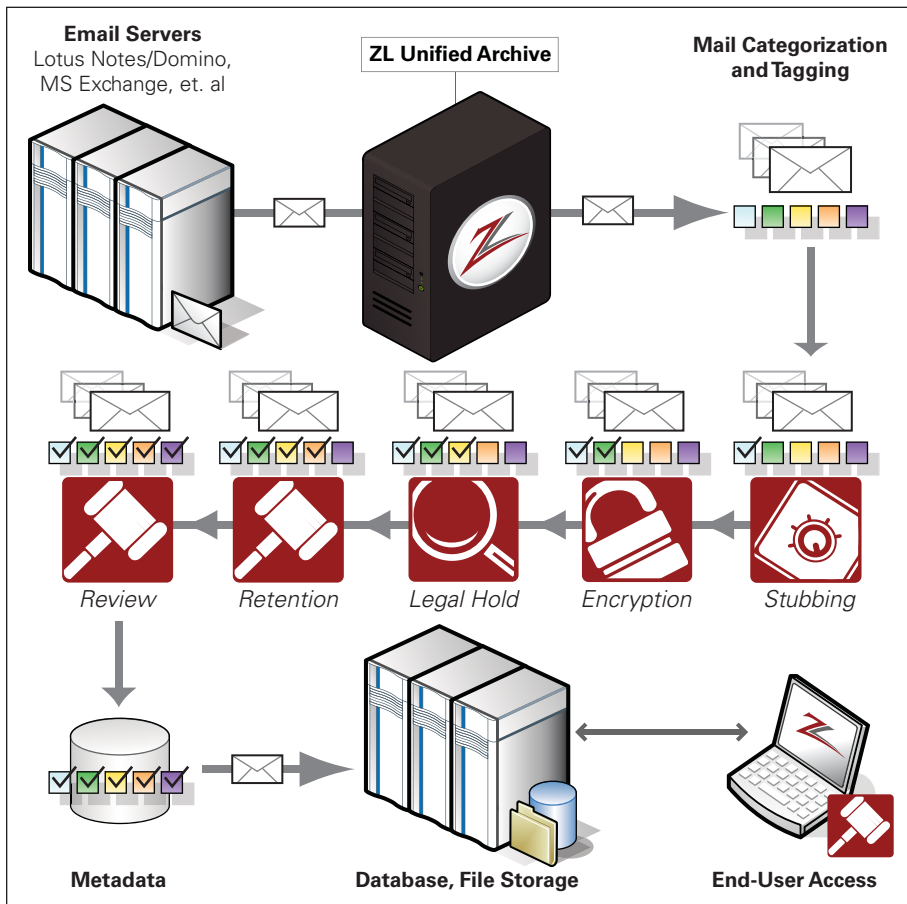
ZL UA satisfies e-mail retention requirements for regulations, including SEC 17a-4, NASD 3010 and 3110, the Health Insurance Portability and Accountability Act (HIPAA), Sarbanes-Oxley, etc. It also offers automated tools for compliance, including sampling, supervision, and approval. This includes natural language analysis and categorization and subsequent post-review, pre-review, and



statistical sampling. With these technologies, firms can stop bad things before they happen by preventing the wrong e-mail or file from leaving the company.

**The ZL Platform**

ZL UA integrates these key capabilities on a scalable platform, which runs in all popular operating environments, including NT, Solaris and Linux. Highlights include industry-leading scalability, open standards support (Java/J2EE, Webservices, WebDAV, etc.) and broad integration with leading e-mail clients and web browsers.



**About ZL Technologies, Inc.**

Established in 1999, ZL Technologies, Inc. (ZL) provides cutting-edge enterprise software solutions for e-mail archiving, regulatory compliance, litigation support, corporate governance, content management, file archiving, and secure e-mail. ZL's flagship product, the Unified Archive, offers comprehensive e-mail and file archiving and management for companies using Lotus Notes/Domino, Microsoft Exchange, Bloomberg, and others. The suite provides a highly flexible framework that is fully scalable, enabling organizations of all sizes to meet legal discovery, compliance, and storage management requirements. With a proven track record and an impressive list of clients, including UBS, Walgreens, Bank of New York Mellon, and Morgan Keegan, among other top global institutions, ZL has proven to be the premier provider of e-mail archiving and compliance solutions in large or complex deployments.

**Key Features:**

- Automated policy management
- Natural language analysis and screening
- Pre and post-review, supervision
- Full-fidelity capture for Lotus Domino, Sun Java Messaging Server, and Exchange
- Guaranteed dual-write ingestion
- Unified records lifecycle management
- Instant messaging archive
- Complete Bloomberg archive
- Data migration

For more information about ZL Technologies, Inc. or ZL Unified Archive, please contact us at:

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